

07-26-00

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PATENT

Docket No. 3951-4001



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))**

COMMISSIONER OF PATENTS  
Box Patent Application  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

All Inventors  
including Address(es): Jonathan Ellenberg, 23 Murphy Drive, Bridgewater, NJ 08807  
Josh Nabozny, 15 Kingswood Way, Manalapan, NJ 07726

For: **A SYSTEM AND METHOD FOR CONDUCTING  
A CUSTOMER AFFINITY PROGRAM AUCTION**

Enclosed are

- [X] 20 page(s) of specification, 1 page(s) of Abstract, 12 page(s) of claims
- [X] 17 sheets of drawing (Figs 1, 2, 3, 4, 5, 6, 7A, 7B, 8, 9, 10, 11, 12A, 12B, 13A, 13B and 14)  
[X] formal [ ] informal (as originally filed in the parent application)
- [X] 6 page(s) of Declaration and Power of Attorney  
[ ] Unsigned  
[X] Newly Executed  
[ ] Copy from prior application
- [ ] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)
- [ ] Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.
- [ ] Microfiche Computer Program (Appendix)
- [ ]      page(s) of Sequence Listing
- [ ] computer readable disk containing Sequence Listing
- [ ] Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- [ ] Assignment Papers (assignment cover sheet and assignment documents)
- [ ] Charge Fee(s) \$40.00 for recording the Assignment to Deposit Account No. 13-4500 Order No.     . A DUPLICATE COPY OF THIS SHEET IS ATTACHED

Express Mail Label No EJ005188550US

09/624439 "07/24/00



- ☐ Assignment papers filed in parent application Serial No. \_\_\_\_\_.
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ Foreign priority is claimed under 35 U.S.C. § 119 from \_\_\_\_\_ Patent Application(s) No. \_\_\_\_\_ dated \_\_\_\_\_.
- ☐ Priority document(s) will be submitted at a later date.
- ☐ Priority document(s) is/are submitted herewith.
- ☐ Information Disclosure Statement
- ☐ Copy of \_\_\_\_ cited references
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. \_\_\_\_\_.
- ☐ Cancel in this application original claims \_\_\_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:  
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.

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## I. CALCULATION OF APPLICATION FEE


						Basic Fee
	Number Filed	Number Extra		Rate		\$690.00
Total						
Claims	57	-20=	37	x	\$18.00	\$666.00
Independent						
Claims	9	-3=	6	x	\$78.00	\$468.00
Multiple Dependent Claims						
	<input type="checkbox"/> yes		Additional fee	=	\$260.00	\$ -0-
	<input checked="" type="checkbox"/> no		Additional fee	=	NONE	
Total:						\$1,824.00

- [ ] A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ \_\_\_\_\_.
- [ ] A check in the amount of \$1,824.00 in payment of the application filing fees is attached.
- [ ] Charge Fee(s) to Deposit Account No. 13-4500 Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- [X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3951-4001. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN &amp; FINNEGAN, L.L.P.

Dated: July 24, 2000

By:   
 Mark J. Abate  
 Registration No. 32,527

## CORRESPONDENCE ADDRESS:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
Docket No. 3951-4001

Applicant(s) : Jonathan Ellenberg, et. al.  
Serial No. : TBA Group Art Unit: TBA  
Filed : July 24, 2000 Examiner: TBA  
For : **A SYSTEM AND METHOD FOR CONDUCTING  
A CUSTOMER AFFINITY PROGRAM AUCTION**

EXPRESS MAIL CERTIFICATE

Express Mail Label EJ005188550US

Date of Deposit July 24, 2000

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Box Patent Application  
Washington, D.C. 20231

Sir:

I hereby certify that the following attached paper(s) and/or fee

1. Utility Application and Fee Transmittal (1.53(b)) (in duplicate) (3 sheets);
2. 20 page(s) of Specification, 1 page(s) of Abstract, 12 page(s) of claims, 17 sheet(s) of formal drawings (Figs. 1-6, 7A, 7B, 8-11, 12A, 12B, 13A, 13B and 14), 6 page(s) of Declaration and Power of Attorney (executed);
3. Check in the amount of \$1,824.00 for application filing fees; and
4. A return receipt postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner Of Patents, Box Patent Application, Washington, D.C. 20231.

Francisco J. Garcia

(Typed or printed name of person  
mailing paper(s) and/or fee)

*Francisco J. Garcia*  
(Signature of person mailing  
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